

Research Integrity Funder Requirements Guidance Document: National Institute of Health Leadership (NIH) - During Research

In addition to the below guidance, for existing grant holders, please also refer to the contract of your grant's terms and conditions for any additional or superseding potential requirements on these topics.

Bullying and Harassment

Please familiarise yourself with the policies located here

<https://grants.nih.gov/grants/policy/harassment/policy-requirement.htm>

Of note it states: *"Recipients of NIH funds must proactively notify NIH of changes in a principal investigator or other senior key person's status, for example modified employment or leave status during an investigation of alleged sexual misconduct."*

Conflict of Interest

Please familiarise yourself with the policies located here

<https://grants.nih.gov/grants/policy/coi/index.htm>

Of note it states: *"3. The Institution shall identify and manage FCOIs and provide initial and ongoing FCOI reports to the NIH consistent with this subpart;*

4. When requested, the Institution will promptly make information available to the NIH/HHS relating to any Investigator disclosure of financial interests and the Institution's review of, and response to, such disclosure, whether or not the disclosure resulted in the Institution's determination of an FCOI;

5. The Institution shall fully comply with the requirements of the regulation.

When the Institution determines that an FCOI exists (see #3 above), the Institution must report to the NIH awarding Institute or Center through the submission of an initial (Original) and annual FCOI report using the eRA Commons FCOI Module (learn how to submit a report through eRA Commons)."

Research Misconduct

Please familiarise yourself with the policies located here:

https://grants.nih.gov/policy/research_integrity/process.htm

Of note it states:

And here: https://grants.nih.gov/policy/research_integrity/finding.htm

Of note it states: *"Allegations of research misconduct are treated seriously and confidentially at the NIH. Allegations are reviewed promptly and if they meet the definition of research misconduct according to [42 C.F.R. 93](#), they will be forwarded to the HHS Office of Research Integrity (ORI) for their oversight. The authority to oversee and investigate potential research misconduct involving Public Health Service (PHS) funding lies with ORI."*

"If an individual involved in NIH funded research is found to have committed research misconduct, the administrative actions that may be taken against this person may include, but are not limited to:

- *debarment from eligibility to receive Federal funds for grants and contracts*

- *prohibition from service on Public Health Service (PHS) advisory committees, peer review committees, or as consultants*
- *certification of information sources by the respondent that is forwarded by the institution*
- *certification of data by the institution*
- *imposition of supervision on the respondent by the institution*
- *submission of a correction of published articles by the respondent*
- *submission of a retraction of published articles by the respondent”*